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J1048 U.S. PTO

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PTO/SB/05 (11-00)  
Approved for use through 10/31/2002 OMB 0651-0032  
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# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 40655.3700  
First Inventor Wilmes et al.  
Title Transactional Settlement in Personal Communication Devices  
Express Mail Label No. EL626415956US

JCS28 U.S. PTO  
10/07/02  
02/15/02

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents

ADDRESS TO:

Assistant Commissioner for Patents  
Box Patent Application  
Washington, D.C. 20231

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original, and a duplicate for fee processing)
2. ☐ Applicant claims small entity status.  
See 37 CFR 1.27.
3. ☒ Specification [Total Pages 44]  
(preferred arrangement set forth below)
  - Descriptive title of the invention
  - Cross Reference to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to sequence listing, a table, or a computer program listing annex
  - Background of the invention
  - Brief description of the views represented in the drawings
  - Detailed description
  - Claims
  - Abstract of the invention
4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 4]
5. Oath or Declaration [Total Pages]
  - a. ☒ Newly executed (original or copy)
  - b. ☐ Copy from a prior application (37 CFR 1.63(d))  
(for continuation/divisional with Box 18 completed)
    - i. ☐ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b)
6. ☐ Application Data Sheet. See 37 CFR 1.76
7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
  - a. ☐ Computer Readable Form (CRF)
  - b. Specification Sequence Listing on:
    - i. ☐ CD-ROM or CD-R (2 copies); or
    - ii. ☐ paper
  - c. ☐ Statements verifying identity of above copies
12. ☒ Informational Statement (IDS) PTO-1449 ☒ Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
16. ☐ Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17. ☐ Other:

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: \_\_\_\_\_

Prior application information: Examiner \_\_\_\_\_ Group / Art Unit \_\_\_\_\_

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 19. CORRESPONDENCE ADDRESS

☐ Customer Number or Bar Code Label

or ☒ Correspondence address below

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Registration No. (Attorney/Agent) 39,038

Signature

Date FEBRUARY 15, 2002

Burden Hour Statement This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231

The PTO does not receive the following listed item(s):

# FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

## Complete if Known

Application Number	TBA
Filing Date	February 15, 2002
First Named Inventor	Wilmes et al.
Examiner Name	TBA
Group Art Unit	TBA
Attorney Docket No.	40566.3700

TOTAL AMOUNT OF PAYMENT \$1,136.00

### METHOD OF PAYMENT

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:
- Deposit Account Number **19-2814**
- Deposit Account Name **SNELL & WILMER L.L.C.**
- ☐ Charge Any Additional Fee Required Under 37 CFR §§ 1.16 and 1.17
- ☐ Applicant claims small entity status See 37 CFR § 1.27
2. ☒ Payment Enclosed:
- ☒ Check ☐ Credit card ☐ Money Order ☐ Other

### FEE CALCULATION

#### 1. BASIC FILING FEE

Large Entity	Small Entity	Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
101	201	740	370			Utility filing fee	740.00
106	206	330	165			Design filing fee	
107	207	510	255			Plant filing fee	
108	208	740	370			Reissue filing fee	
114	214	160	80			Provisional filing fee	
SUBTOTAL (1)							740.00

#### 2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
36	-20** = 16	9.00	144.00
6	-3** = 3	84.00	252.00
Multiple Dependent			

Large Entity	Small Entity	Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description
103	203	18	9			Claims in excess of 20
102	202	84	42			Independent claims in excess of 3
104	204	280	140			Multiple dependent claim, if not paid
109	209	84	42			** Reissue independent claims over original patent
110	210	18	9			** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) \$396.00

\*\*or number previously paid, if greater, For Reissues, see above

### FEE CALCULATION (continued)

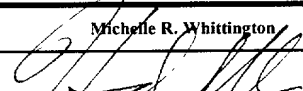
#### 3. ADDITIONAL FEES

Large Entity	Small Entity	Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
105	205	130	65			Surcharge - late filing fee or oath	
127	227	50	25			Surcharge - late provisional filing fee or cover sheet	
139	139	130	130			Non - English specification	
147	147	2,520	2,520			For filing a request for <i>ex parte</i> reexamination	
112	112	920*	920*			Requesting publication of SIR prior to Examiner action	
113	113	1,840*	1,840*			Requesting publication of SIR after Examiner action	
115	215	110	55			Extension for reply within first month	
116	216	400	200			Extension for reply within second month	
117	217	920	460			Extension for reply within third month	
118	218	1,440	720			Extension for reply within fourth month	
128	228	1,960	980			Extension for reply within fifth month	
119	219	320	160			Notice of Appeal	
120	220	320	160			Filing a brief in support of an appeal	
121	221	280	140			Request for oral hearing	
138	138	1,510	1,510			Petition to institute a public use proceeding	
140	240	110	55			Petition to revive - unavoidable	
141	241	1,280	640			Petition to revive - unintentional	
142	242	1,280	640			Utility issue fee (or reissue)	
143	243	460	230			Design issue fee	
144	244	620	310			Plant issue fee	
122	122	130	130			Petitions to the Commissioner	
123	123	50	50			Processing fee under 37 CFR § 1.17(q)	
126	126	180	180			Submission of Information Disclosure Statement	
581	581	40	40			Recording each patent assignment per property (times number of properties)	
146	246	740	370			Filing a submission after final rejection (37 CFR § 1.129(a))	
149	249	740	370			For each additional invention to be examined (37 CFR § 1.129(b))	
179	279	740	370			Request for Continued Examination (RCE)	
169	169	900	900			Request for expedited examination of a design application	
Other fee (specify)							

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

### SUBMITTED BY

Name (Print/Type)	Michelle R. Whittington	Registration No. (Attorney/Agent)	43,844	Telephone	(602) 382-6758
Signature		Date	February 15, 2002		

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**CERTIFICATE OF MAILING BY FIRST CLASS MAIL (37 CFR 1.8)**Applicant(s): **Fred Bishop, et al.**

Docket No.

**40655.3750**

Serial No.

**60/269,108**

Filing Date

**February 15, 2001**

Examiner

**NYA**

Group Art Unit

**NYA**

Invention: **METHOD AND APPARATUS TO CALCULATE AND COLLECT TRANSACTION RELATED TAXES  
BASED ON THE LOCATION OF A MOBILE DEVICE**

I hereby certify that this **Recordation Coversheet, Assignment, and Check**

*(Identify type of correspondence)*

is being deposited with the United States Postal Service as first class mail in an envelope addressed to: The

Assistant Commissioner for Patents, Washington, D.C. 20231 on

**June 4, 2001**  
*(Date)*

**Deborah Mier**

*(Typed or Printed Name of Person Mailing Correspondence)*

**Deborah A. Mier**

*(Signature of Person Mailing Correspondence)*

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